Chemistry without a Lab: An Overview of Computational Quantum Chemistry

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Chemistry is not a discipline today; it is a jungle....

When chemistry becomes a discipline, mathematical chemists will design new materials, predict their properties, and tell engineers how to make them—without entering the laboratory. We've got a long way to go on that one.

> --- Robert Heinlein from "Where To?", *Galaxy* magazine, Feb. 1952



Computation is rapidly transforming chemistry into a "discipline."



Louie, Chan, DAR Jornada, Li, and Qiu. Nature Mater. 20, 728 (2021).

Nature Materials, June 2021

PERSPECTIVE

Discovering and understanding materials through computation

Steven G. Louie, Yang-Hao Chan, Felipe H. da Jornada, Zhenglu Li and Diana Y. Qiu

REVIEW ARTICLES

Electronic-structure methods for materials design Nicola Marzari, Andrea Ferretti and Chris Wolverton

Machine-learned potentials for next-generation matter simulations

Pascal Friederich, Florian Häse, Jonny Proppe and Alán Aspuru-Guzik

From predictive modelling to machine learning and reverse engineering of colloidal self-assembly Marjolein Dijkstra and Erik Luijten

Mesoscopic and multiscale modelling in materials Jacob Fish, Gregory J. Wagner and Sinan Keten

Quantum chemistry plays a central role throughout computational chemistry.

D Overview of Standard Quantum Chemistry Models

- The molecular Schrödinger equation
- Born-Oppenheimer approximation
- Wave function methods
- Density functional theory
- Basis sets
- Predictions versus reality

2 Selected Applications (biased by my own research)

- Theory aiding experiment: NMR crystallography
- Theory leading experiment: Photomechanical engines

Outline

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The molecular Schrödinger equation is really complicated.

The molecular \hat{H} always contains:

- Kinetic energy for each nucleus
- Kinetic energy for each electron
- Attraction of each electron to each nucleus
- Repulsion between each pair of electrons
- Repulsion between each pair of nuclei



caffeine

$$\hat{H}\Psi(\mathbf{r},\mathbf{R})=E\Psi(\mathbf{r},\mathbf{R})$$

$$\hat{H} = -\sum_{A}^{\textit{nuc.}} \frac{1}{2M_{A}} \nabla_{A}^{2} - \sum_{i}^{\textit{elec.}} \frac{1}{2} \nabla_{i}^{2} - \sum_{i}^{\textit{elec.}} \sum_{A}^{\textit{nuc.}} \frac{Z_{A}}{r_{iA}} + \sum_{i}^{\textit{elec.}} \sum_{j>i}^{\textit{elec.}} \frac{1}{r_{ij}} + \sum_{A}^{\textit{nuc.}} \sum_{B>A}^{\textit{nuc.}} \frac{Z_{A}Z_{B}}{R_{AB}}$$

Such Hamiltonians are much too complicated to solve exactly. Need to approximate.

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Overview of Computational Quantum Chemistry.

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The Born-Oppenheimer approximation

Key approximation: the Born-Oppenheimer approximation approximately separates the electronic and nuclear variables.

- Only approximate since electron-nuclear attraction terms couple electrons and nuclei, preventing rigorous separation of variables.
- Nuclei are much more massive than electrons: $m_{nuc} pprox 1000 m_e$
 - Means electrons move much faster than nuclei, respond quickly to changes in nuclear positions.
 - Like flies (electrons) buzzing around a rhino (nuclei).



The Born-Oppenheimer procedure

Original Hamiltonian:
$$\hat{H} = -\sum_{A}^{nuc.} \frac{1}{2M_A} \nabla_A^2 - \sum_{i}^{elec.} \frac{1}{2} \nabla_i^2 - \sum_{i}^{elec.} \sum_{A}^{nuc.} \frac{Z_A}{r_{iA}} + \sum_{i}^{elec.} \sum_{j>i}^{elec.} \frac{1}{r_{ij}} + \sum_{A}^{nuc.} \sum_{B>A}^{nuc.} \frac{Z_A Z_B}{R_{AB}}$$

Strategy for using the Born-Oppenheimer approximation

Ochoose nuclear positions (i.e. molecular geometry), fix nuclei.

$$\Rightarrow$$
 nuclear kinetic energy terms $ightarrow$ 0 and $rac{Z_A Z_B}{R_{AB}}
ightarrow$ constant

Solve resulting "electronic" Schrödinger equation, find electronic wavefunction and energy.

$$H_{elec}^{\hat{}} = -\sum_{i}^{elec.} \frac{1}{2} \nabla_{i}^{2} - \sum_{i}^{elec.} \sum_{A}^{nuc.} \frac{Z_{A}}{r_{iA}} + \sum_{i}^{elec.} \sum_{j>i}^{elec.} \frac{1}{r_{ij}} + \sum_{A}^{nuc.} \sum_{B>A}^{nuc.} \frac{Z_{A}Z_{B}}{R_{AB}}$$

Repeat Step 2 at multiple molecular geometries to map out a "potential energy surface"

If desired, solve "nuclear Schrödinger equation" that depends on the potential energy surface.
 e.g. Computing harmonic vibrational frequencies

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The potential energy surface maps out important species.

Potential Energy Surface (PES): The electronic energy as a function of nuclear positions.



Image credit: Wang, Lv, Gao, & Ma. Acc. Chem. Res. 55 2068 (2022).

- Optimize molecular geometry to stationary points on the PES.
- Stable species ⇒ Minima
 - Reactants
 - Products
 - Reaction Intermediates
 - Conformers
 - Have zero imaginary vibrational frequencies.
- **Transition states** ⇒ 1st-order saddle points
 - Have exactly 1 imaginary vibrational frequency.

Ε

The hierarchy of popular quantum chemistry methods

Always must seek to balance accuracy and computational efficiency.



- All of these methods seek to solve the electronic part of the Schrödinger equation.
- Hartree-Fock (HF) is the simplest method, but not very accurate.
- CCSD(T) is the "gold standard" of quantum chemistry. Practical upper limit of accuracy.
- Computational cost grows steeply for better methods.
 - e.g. CCSD(T): N⁷ scaling means doubling system size increases cost 2⁷ = 128 times!

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- DFT has HF-like cost, but significantly better accuracy.

Hartree-Fock (HF) theory is quantitative molecular orbital (MO) theory.

HF forms molecular orbitals by taking linear combinations of atomic orbitals.

- Find the set of molecular orbitals (MOs) that minimizes the energy. (Variational principle)
- Pair up electrons in the lowest-energy orbitals. (Pauli Exclusion, Aufbau principles)



Form the σ **bonding** orbital by **adding** the 1s orbitals:

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These slides available at https://beran.chem.ucr.edu/teaching.html

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Sample Hartree-Fock calculation on Benzene

Look at only the π and π^* orbitals here.



Hartree-Fock is a mean field theory.

- Each electron feels only average "smear" of the other electrons.
 - HF lacks electron-electron correlations.
- $\bullet~$ HF captures ${\sim}99\%$ of the total energy.
 - Gives decent molecular geometries.
 - But quantitatively poor reaction energies.
- Unfortunately, the missing 1%, called the **correlation energy**, is important for predicting chemistry accurately.

$$E_{corr} = E_{exact} - E_{HF}$$

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Two potential strategies for improving upon Hartree-Fock theory

Both seek to capture that missing correlation energy.

- Møller-Plesset perturbation theory
- Onfiguration Interaction/Coupled Cluster theory

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Second-Order Møller-Plesset Perturbation Theory (MP2)

Perturbation theory approximates the solution to a hard problem as the solution to an easier problem plus corrections.

Møller-Plesset Perturbation Theory uses perturbation theory to improve upon HF.

• Hard problem: Exact solution to electronic Schrödinger Eq. Easier problem: HF.

$$\hat{H}_{exact} = \hat{H}_{HF} + \hat{H}_{corr} \qquad \Psi_{exact} \approx \Psi_{HF} + \Psi^{(1)} + \Psi^{(2)} + \cdots \qquad E_{exact} \approx E_{HF} + E^{(2)} + \cdots$$

• MP2: First correction to the energy occurs at second-order $(E^{(2)})$.

- Captures 99.8–99.9% of the total energy.
- Improves significantly upon HF for energies and geometries.
- Can be a good alternative to DFT in some cases.
- Has issues with dispersion interactions, though corrections exist. Phys. C

• MP3, MP4, etc: Higher-order corrections also can be computed, but coupled cluster methods usually perform better for similar computational effort.

Phys. Chem. Chem. Phys. 24, 3695-3712 (2022).

Configuration Interaction

Configuration Interaction (CI): Optimize a wave function that is a linear combination of different possible ways of arranging the electrons in the Hartree-Fock orbitals.

Example: He atom with 2 orbitals

$$|\Phi_{\mathit{Cl}}
angle = c_1|1s\uparrow, 1s\downarrow
angle + c_2|2s\uparrow, 1s\downarrow
angle + c_3|1s\uparrow, 2s\downarrow
angle + c_4|2s\uparrow, 2s\downarrow
angle$$



Denote the configurations in terms of their "excitations" from the Hartree-Fock one.

- Including all possible configurations (Full CI) leads to "exact" result—impractical!
- Instead, truncate to e.g. only singly and doubly-excited configurations (CISD).

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Coupled Cluster Theory

Truncated CI models like CISD have systematic size-consistency errors.

- Error grows with system size.
- Stems from neglect of important higher-order excitations.

Coupled cluster (CC) theory is similar to CI, but cures this problem.

$$|\Psi_{CC}
angle = e^{\hat{T}}|\Phi_{HF}
angle = \left(1+\hat{T}+rac{1}{2}\hat{T}^2+rac{1}{6}\hat{T}^3\cdots
ight)|\Phi_{HF}
angle$$

- **CCSD**: Coupled cluster theory with singles and doubles— $O(N^6)$ cost.
- **CCSDT:** Coupled cluster theory with singles, doubles, and triples— $O(N^8)$ cost. Too Expensive!

Compromise by estimating the triples contribution via perturbation theory.

- **CCSD(T):** Coupled cluster theory with singles, doubles, and perturbative triples— $O(N^7)$ cost.
- CCSD(T) gives benchmark-quality results, but at a high computational cost.

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Molecular Geometries:

Bond Lengths: Mean errors, 29 small molecules (pm)							
_	Basis	HF	MP2	CCSD	CCSD(T)		
	cc-pVDZ	-1.80	1.35	1.07	1.68		
	cc-pVTZ	-2.63	-0.12	-0.63	0.01		
_	cc-pVQZ	-2.74	-0.23	-0.79	-0.12		

Molecular Energetics:

17 Reaction Energies: Mean Abs. Errors (kJ/mol)					I	19 Atomizatio	on Energie	es: Mear	n Errors (I	kJ/mol)
Basis	HF	MP2	CCSD	CCSD(T)	L	Basis	HF	MP2	CCSD	CCSD(T)
cc-pCVDZ	43.8	36.5	30.2	40.3	L	cc-pCVDZ	-450.1	-76.2	-125.4	-103.3
cc-pCVTZ	42.3	16.0	10.5	12.7	L	cc-pCVTZ	-426.1	-4.2	-65.1	-34.9
cc-pCVQZ	43.0	12.9	12.0	3.6	L	cc-pCVQZ	-423.7	17.7	-46.1	-14.3

CCSD(T) generally gives most uniform errors (tightest error distributions).

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Overview of Computational Quantum Chemistry.

Density functional theory (DFT), in a nutshell.

Hohenberg-Kohn theorems

() There exists a 1:1 mapping between density $\rho(\mathbf{r})$ and energy.

$$\rho(\mathsf{r}) \leftrightarrow \hat{H} \leftrightarrow E \And \Psi(\mathsf{r}_1, \mathsf{r}_2, \cdots, \mathsf{r}_n) \quad \Rightarrow \quad E = E[\rho(\mathsf{r})]$$

② Can prove a variational principle for finding the optimal density.

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Problem: We don't know what the true functional looks like!

Kohn-Sham DFT provides a workable solution for approximate density functionals.

 $E[\rho(\mathbf{r})] = T_s[\{\phi_i(\mathbf{r})\}] + J_{ee}[\rho(\mathbf{r})] + J_{eN}[\rho(\mathbf{r})] + E_{XC}[\rho(\mathbf{r})]$

- Exchange-correlation functional $E_{XC}[\rho(\mathbf{r})]$ contains the key approximations.
- Different functionals differ in how they approximate $E_{XC}[\rho(\mathbf{r})]$.

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Jacob's Ladder from the original Luther Bibles of 1534 and 1545

Generally expect quality of results to improve on higher rungs.

But often have significant variation across functionals in a rung.

Rungs of the Ladder

Local density approximation: Depend on $\rho(\mathbf{r})$. LDA, SVWN

Perdew, Ruzsinszky, Constantin, Sun, and Csonka. J. Chem. Theory Comput. 5, 902 (2009)



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Rungs of the Ladder

- Local density approximation: Depend on ρ(r).
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- **Generalized gradient approximation (GGAs):** Also depend on $\nabla \rho(\mathbf{r})$. PBE, BLYP, etc.

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- **3** Generalized gradient approximation (GGAs): Also depend on $\nabla \rho(\mathbf{r})$. PBE, BLYP, etc.
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- Hybrid functionals: Add a fraction of exact/HF exchange. B3LYP. PBE0. TPSSh. etc.

Perdew, Ruzsinszky, Constantin, Sun, and Csonka, J. Chem. Theory Comput. 5, 902 (2009) 19/37



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- Hybrid functionals: Add a fraction of exact/HF exchange. B3LYP, PBE0, TPSSh, etc.
- Double-Hybrid functionals: Add MP2-like correlation. B2PLYP, DSD-P86PBE, etc.

Perdew, Ruzsinszky, Constantin, Sun, and Csonka. J. Chem. Theory Comput. 5, 902 (2009)

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Augment DFT with a dispersion correction:

- Grimme's D3 and D4.
- Tkatchenko-Scheffler (**TS**) or Many-body Dispersion (**MBD**).
- Becke and Johnson's Exchange-hole Dipole Moment (XDM).
- A few functionals explicitly build in dispersion (ω **B97M-V**, etc).

- Current-generation corrections are largely non-empirical.
- Most corrections are inexpensive and should generally be included.
- They are crucial in systems where van der Waals interactions matter.
 - Example: Benzene crystal molar volume is ${\sim}45\%$ too large without dispersion correction!

Grimme, Hansen, Brandenberg, and Bannwarth. Chem. Rev. 116, 5105 (2016).



Non-covalent interactions



Mardirossian & Head-Gordon, Mol. Phys, 115, 2315 (2017).

Gaussian basis sets frequently used for molecular calculations.

Express each molecular orbital as a linear combination of atomic orbitals (AOs).

$$\phi\rangle = c_1|\chi_1\rangle + c_2|\chi_2\rangle + \cdots + c_n|\chi_n\rangle$$

• Each atomic orbital $\chi(r) = f(r)e^{-a|r|}$ represented via Gaussian functions $g(r)e^{-ar^2}$.

Gaussian basis set primer

- Minimal basis set: Just the orbitals you'd expect based on periodic table. Too small!
- *N*-tuple zeta: # of sets of AO functions. Double-ζ, triple-ζ, quadruple-ζ, etc.
- **Polarization fns:** Higher angular momentum functions, allow density to polarize.
- **Diffuse fns:** Large orbitals, saturate space between non-bonded atoms, or anions.
- **Tight basis fns** can be important for special cases, e.g. some magnetic properties.



http://chem.libretexts.org

Here are some of the most common basis set families.

Family	Basis Names	Comments
Minimal	STO-3G, STO-6G	DON'T USE!
Pople	6-31G(d), $6-31G(d,p)6-311G(d)$, $6-311G(d,p)6-311+G(d)$, $6-311++G(d,p)$	Double- ζ , Smallest decent sets. Triple- ζ , moderately larger. The "+" adds diffuse basis functions.
Ahlrichs	def2-SVP, def2-TZVP, def2-QZVP	Double, triple, and quadruple- ζ . Good for DFT.
Jensen	pc- <i>n</i> (where $n = 1, 2, 3, 4$)	Another hierarchy that's good for DFT.
Dunning	cc-pVXZ, aug-cc-pVXZ (where $X = D$, T, Q)	Good hierarchies for MP2 and coupled cluster. The "aug-" adds diffuse basis functions.

Can also find additional variants of these basis sets with further modifications.

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My basic basis set recommendations.

The following rules of thumb should be helpful, but there can always be special cases.



- Alternative basis sets of similar quality are also acceptable, especially for DFT.
- MP2 and coupled cluster need larger basis sets than DFT.
- DFT is usually "good enough" for geometry optimization.
- Add diffuse functions when needed: for anions, non-covalent interactions, etc.
 e.g. 6-311+G(d,p), def2-XZVPD, or aug-cc-pVXZ
- Extra core basis functions are needed less often, but occasionally. e.g. cc-pwCVXZ, pcS-n

Perspective on the choice of a model chemistry

A **theoretical model chemistry** constitutes a chosen set of approximations used to describe your systems: Method, Basis Set, etc.



- **Challenge:** Best results come from high-quality methods **and** large basis sets—often cost-prohibitive.
- Chemical accuracy of ~1 kcal/mol is an aspirational goal.
 Large-basis CCSD(T) can sometimes give this.
 - Most routine calculations will have larger errors.
- Composite approaches combine multiple calculations with different methods and basis sets to estimate high-quality method in the limit of large basis set.

Should your calculations agree with experiment?

Sometimes mediocre models match experiment better than higher-quality models.



Many approximations are involved beyond just method & basis set.

- Simplified molecular representation?
- Missing environment or solvent?
- Nuclear dynamics/vibrations?
- Finite temperature (H or G vs. E)?

Best practices:

- Converge your calculations to the extent possible.
- Examine the sensitivity of your results to your modeling choices.
- Be aware of what approximations you're making and the role fortuitous error cancellation may play.

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Beran group: Building computational models for the organic solid state

I How do organic molecules pack in solids?

How do we build new models that predict these properties accurately and efficiently?

③ Can we predict crystalline properties to aid experiment?







Solving crystal structures isn't always easy.

Not all materials can be fully characterized via traditional X-ray diffraction techniques.



Peltonen & Strachan. Int. J. Pharm. 586, 119492 (2020).

- Some materials exhibit only short-range order.
 - e.g. Amorphous pharmaceuticals.

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Al-Kaysi et al. J. Am. Chem. Soc. 128, 15938 (2006).

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Others can be hard to solve via powder X-ray diffraction. e.g. Dynamic organic crystal nanomaterials.

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Caulkins et al, J. Am. Chem. Soc. 138, 15214 (2016).

Some materials exhibit only short-range order.

e.g. Amorphous pharmaceuticals.

Others can be hard to solve via powder X-ray diffraction. e.g. Dynamic organic crystal nanomaterials.

Diffraction cannot always reveal the salient features.
 e.g. Catalytically important protons in the active site of tryptophan synthase.

Strategy: Identify candidate crystal structures from PXRD or crystal structure prediction whose simulated spectroscopic properties match experimentally observed ones.



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	Candidate 1	
RMS Error (ppm)	2.1	

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	Candidate 1	Candidate 2	
RMS Error (ppm)	2.1	2.6	

Strategy: Identify candidate crystal structures from PXRD or crystal structure prediction whose simulated spectroscopic properties match experimentally observed ones.



	Candidate 1	Candidate 2	Candidate 3
RMS Error (ppm)	2.1	2.6	0.8

Higher accuracy prediction = increased discrimination between candidates.

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Our fragment methods enable higher-accuracy chemical shift predictions.

Replace a single large calculation on the full system with many smaller sub-system calculations.



2-Body Embedded Fragment Approach

$$ilde{m{\sigma}}^{\mathcal{A}}_i pprox m{\sigma}^{\mathcal{A},\textit{emb.}}_i + \sum_j \Delta^2 m{\sigma}^{\mathcal{A},\textit{emb.}}_{ij}$$

- Compute shieldings for central molecule
- Add series of corrections due to pairwise interactions with nearby molecules.
- Embed all monomer/dimer calculations in self-consistently polarized point charges or polarizable continuum model (PCM) to capture many-body polarization effects.

Enables use of more expensive hybrid DFT functionals that reduce errors vs. experiment by ${\sim}30\text{--}50\%$ compared to standard GGAs.

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Hybrid PBE0 improves discrimination for acetaminophen polymorphs.

Fragment PBE0 chemical shifts exhibit smaller errors than periodic GIPAW PBE ones.



Experiment: Burley, Duer, Stein, and Vrcelj. Eur. J. Pharm. Sci. 31, 271-276 (2007).



RMS	Errors (ppm)		
	GIPAW PBE Fragment PBE0		

Hartman, Day, and Beran. Cryst. Growth Des. 16, 6479 (2016).

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NMR crystallography is solving the enzyme mechanisms.

Protonation states at multiple sites play key role in the mechanism of tryptophan synthase and related proteins.

• ¹⁷O shifts for O1 and O2 occur outside typical COOH / COO⁻ shift ranges, hindering interpretation.



Young, Caulkins, Borchardt, Bulloch, Larive, Dunn, and Mueller. Angew. Chem. Int. Ed. 55, 1350 (2016).

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¹⁷O NMR experiments & chemical shift scaling parameters derived from our molecular crystal studies reveal fast-exchange dominated by the phenolic form.

Young, Caulkins, Borchardt, Bulloch, Larive, Dunn, and Mueller. Angew. Chem. Int. Ed. 55, 1350 (2016).

Photomechanical materials transform light into mechanical work.

9-tert-butyl anthracene ester nanorods elongate 8 \pm 2% upon irradiation.



- Want to make light-powered nano-machines.
- Experimentally difficult to:
 - Determine photochemical structural changes.
 - Anticipate how altering the molecular will impact the photomechanical response.
- Can theory accelerate then slow trial-and-error experimental efforts?

Al-Kaysi, Müller, & Bardeen. J. Am. Chem. Soc. 128, 15938 (2006).





Chalek et al. Chem. Sci. 12, 453 (2021).

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Can we design improved photomechanical materials in silico?



Use quantum chemistry to lead experiment and investigate:

- How will a molecule pack as a crystal?
- How will the crystal unit cell transform upon photochemical reaction?
- How much work can we extract from a photomechanical engine?

Cook, Li, Lui, Gately, Al-Kaysi, Mueller, Bardeen, & Beran. Chem. Sci. 14, 937-949 (2023).

Quantum Chemistry is routinely making predictions that complement or lead experiment.

• Examples include organic chemistry, biochemistry, materials, etc.

Many different quantum chemistry methods exist.

- DFT, MP2, CCSD(T), etc.
- DFT offers a "sweet-spot" in terms of computational cost vs. accuracy.
- But many functionals to choose from (Jacob's Ladder).

O Best Practice: Investigate how your modeling decisions impact your predictions.

- Assess sensitivity/uncertainty.
- Build confidence in your predictions.

U.C. Riverside Chemistry PhD Program

Our PhD Program at a glance:

- $\bullet~{\sim}35$ faculty, ${\sim}140$ PhD students in Chemistry.
- >\$12 million in external grants & 330 papers published in 2021.
- Ranked 37th in U.S. in the (data-driven) Shanghai Rankings.
- 1 Nobel Laureate (Schrock), many ACS Award winners, etc.
- One of the most diverse research universities in the nation.
- Strong focus on research and individual mentoring.



Take advantage of everything Southern California has to offer:







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Software packages and further reading

Molecular systems

- Gaussian/GaussView (\$)
- Psi4
- Q-Chem (\$)
- Molpro (\$)
- Orca

Periodic systems

- VASP (\$)
- QuantumEspresso
- Crystal (\$)
- CASTEP
- FHI Aims (\$)

Further Reading:

- Introduction to Computational Chemistry, 3rd Ed., F. Jensen, Wiley, 2017.
- Essentials of Computational Chemistry, 2nd Ed. C. Cramer, Wiley, 2004.
- The ABC of DFT K. Burke. https://dft.uci.edu/doc/g1.pdf
- Density Functional Theory: A Practical Introduction, D. Sholl and J. Steckel, Wiley, 2009.